

NORTH HERTFORDSHIRE DISTRICT COUNCIL



6 September 2019

Our Ref Finance, Audit and Risk
Committee
Your Ref.
Contact. Amelia McNally
Direct Dial. (01462) 474514
Email. amelia.mcnally@north-herts.gov.uk

To: Members of the Committee: Councillors Kate Aspinwall, Sam North, Steve Deakin-Davies, Morgan Derbyshire, Steve Jarvis, Kay Tart and Michael Weeks

Substitutes: Councillors Sam Collins, George Davies, Ian Moody and Adem Ruggiero-Cakir

You are invited to attend a

MEETING OF THE FINANCE, AUDIT AND RISK COMMITTEE

to be held in the

**COUNCIL CHAMBER, COUNCIL OFFICES, GERNON ROAD,
LETCWORTH GARDEN CITY**

On

MONDAY, 16TH SEPTEMBER, 2019 AT 7.30 PM

****MEMBERS PLEASE ENSURE THAT YOU DOWNLOAD ALL
AGENDAS AND REPORTS VIA THE MOD.GOV APPLICATION
ON YOUR TABLET BEFORE ATTENDING THE MEETING****

Yours sincerely,

Jeanette Thompson
Service Director – Legal and Community

Agenda

Part I

Item	Page
1. APOLOGIES FOR ABSENCE	
2. MINUTES - 29 JULY 2019 To take as read and approve as a true record the minutes of the meeting of the Committee held on the 29 July 2019.	(Pages 5 - 12)
3. NOTIFICATION OF OTHER BUSINESS Members should notify the Chairman of other business which they wish to be discussed at the end of either Part I or Part II business set out in the agenda. They must state the circumstances which they consider justify the business being considered as a matter of urgency. The Chairman will decide whether any item(s) raised will be considered.	
4. CHAIRMAN'S ANNOUNCEMENTS Members are reminded that any declarations of interest in respect of any business set out in the agenda, should be declared as either a Disclosable Pecuniary Interest or Declarable Interest and are required to notify the Chairman of the nature of any interest declared at the commencement of the relevant item on the agenda. Members declaring a Disclosable Pecuniary Interest must withdraw from the meeting for the duration of the item. Members declaring a Declarable Interest, wishing to exercise a 'Councillor Speaking Right', must declare this at the same time as the interest, move to the public area before speaking to the item and then must leave the room before the debate and vote.	
5. PUBLIC PARTICIPATION To receive petitions, comments and questions from the public.	
6. SHARED INTERNAL AUDIT SERVICE ANNUAL REPORT 2018/19 REPORT OF THE SHARED INTERNAL AUDIT SERVICES AUDIT MANAGER	(Pages 13 - 26)
To consider the Shared Internal Audit Service Annual Report 2018/19.	
7. SHARED INTERNAL AUDIT SERVICES PROGRESS REPORT REPORT OF THE SHARED INTERNAL AUDIT SERVICES AUDIT MANAGER	(Pages 27 - 46)
To consider the Internal Audit Progress Report, the proposed amendments to the 2019/20 Annual Audit Plan and the implementation status of high priority recommendations.	

8. **ANNUAL GOVERNANCE STATEMENT 2018/19: UPDATED ACTION PLAN FOR 2019/20** (Pages 47 - 54)
REPORT OF THE POLICY AND COMMUNITY ENGAGEMENT MANAGER

For the Finance, Audit & Risk Committee to note the progress made against the Action Plan for 2019/20.

9. **FRAUD POLICY REVIEW** (Pages 55 - 78)
REPORT OF THE SERVICE DIRECTOR – RESOURCES

To consider Fraud Prevention Policy.

10. **RISK AND OPPORTUNITIES MANAGEMENT UPDATE SEPTEMBER 2019** (Pages 79 - 90)
REPORT OF THE SERVICE DIRECTOR - RESOURCES

A report to provide the Committee with an update on the Corporate risks and the proposed changes to these risks.

11. **POSSIBLE AGENDA ITEMS FOR FUTURE MEETINGS**
The Chairman to lead a discussion regarding possible agenda items for future meetings.